

SUBSTITUTE FOR  
FORM 1449  
(08-00)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

*Complete if Known*

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	09/993,940
Filing Date	November 6, 2001
First Named Inventor	John Merrells
Group Art Unit	2172
Examiner Name	Kim Vu
Attorney Docket Number	13220.010001; P5845

Sheet 1 1 of 1

## U.S. PATENT DOCUMENTS

U.S. Patent Document					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
H.E.D.		6,044,444	03/28/2000	Ofek	
H.E.D.		5,893,116	04/06/1999	Simmonds, et al.	

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## FOREIGN PATENT DOCUMENTS

Foreign Patent Document							
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T**
H.E.D.		EP	0 684 558 A1		INTERNATIONAL BUSINESS MACHINES CORPORATION	11/29/1995	
H.E.D.		WO	95/14279		CONNER PERIPHERALS, INC.	05/26/1995	

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner initials*	Cite No.	References	T**
H.E.D.		Combined Search and Examination Report; July 3, 2003.	

Examiner  
Signature

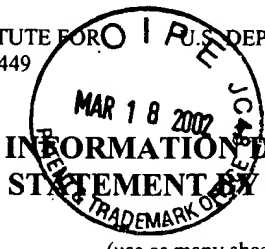
Harold E. Dods, Jr.

Date  
Considered

8/27/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.

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		Application Number	09/993,940
		Filing Date	November 6, 2001
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		Group Art Unit	2176
Examiner Name			
Attorney Docket Number	13220.010001; P5845		
Sheet	1	of	1

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
N.E.D.	A1	6,157,942	12/05/2000	Chu, et al.	
N.E.D.	A2	5,774,552	06/30/1998	Grimmer	

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Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T**

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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N.E.D.	A4	NETSCAPE COMMUNICATIONS CORPORATION; "Chapter 7 – Planning Replication"; Deployment Guide – Netscape Directory Server, Version 4.0; 1998; pp. 93-115; Netscape Communications Corporation, Mountain View CA, USA	
N.E.D.	A5	DOUGLAS B. TERRY, KARIN PETERSEN, MIKE J. SPREITZER, and MARVIN M. THEIMER; "The Case for Non-transparent Replication: Examples from Bayou"; pp. 1-10; Computer Science Laboratory, Xerox Palo Alto Research Center, Palo Alto, CA; USA	
N.E.D.	A6	ED REED, ELLEN STOKES, and RUSSEL WEISER; "LDAP Replication Architecture"; <a href="http://www.stanford.edu/hodges">www.stanford.edu/hodges</a> ; April 17, 1998; pp. 1-11; The Internet Society; California; USA	

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Examiner Signature	<i>Harold E. Dobbys Jr.</i>	Date Considered	8/24/04
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